Telecommunications

TELECOMMUNICATIONS (SPECTRUM MANAGEMENT AND LICENSING) REGULATIONS, 2003

ARRANGEMENT OF REGULATIONS

REGULATION

- 1. Citation.
- 2. Interpretation.
- 3. Transitional arrangements.

Spectrum Management

- 4. Monitoring the use of spectrum.
- 5. Co-ordinated management of spectrum.

Spectrum Licences

- 6. Determination of number of spectrum licences.
- 7. Application for a spectrum licence.
- 8. Applicant qualifications.
- 9. Registers.
- 10. Alternative special spectrum licence application procedure.
- 11. Substantial and significant changes to applications.
- 12. Refusal of applications.
- 13. Notification of Minister's decision.
- 14. Ministerial determination.

REGULATION

- 15. Renewal of spectrum licences.
- 16. Modification of spectrum licence.
- 17. Redistribution of spectrum.
- 18. Transfer of control or assignment.
- 19. Regulatory fees.
- 20. Efficient use of spectrum.
- 21. Interference and other protections.
- 22. Antennae structure requirements.

SCHEDULE

Telecommunications

TELECOMMUNICATIONS (SPECTRUM MANAGEMENT AND LICENSING) REGULATIONS, 2003

Authority: These Regulations were made on 18th July, 2003 by the Minister under section 110(1)(g) of the *Telecommunications Act*.

Commencement: 28th July, 2003.

1. These Regulations may be cited as the *Telecommunications* Citation. (Spectrum Management and Licensing) Regulations, 2003.

2. In these Regulations,

"existing spectrum user" means a person who holds spectrum rights within the meaning of paragraph 1(b) of the *First Schedule* to the Act:

"fee" or "appropriate fee" means the relevant fee prescribed under the Telecommunications (Licence Fees) Regulations, 2003.

3. (1) The Minister shall grant spectrum licences in accordance Transitional with the Transition Timetable set out in the *First Schedule* to the Act arrangements. and in the Form 3 set out in Part I of the Schedule to these Form 3. Regulations.

(2) Existing spectrum users shall be required to complete the application procedure set out in these Regulations, but no application fee is payable in respect of the application.

(3) Where a licence granted to an existing spectrum user under paragraph (1) has expired, the spectrum licensee shall be required to apply for a renewal of that licence in accordance with these Regulations.

Cap. 282B.

2003/98.

Interpretation

2003/78.

Schedule

(4) The transitional arrangements referred to in paragraph (1) shall apply with respect to spectrum that was licensed at the date of the commencement of these Regulations and not to the use of any additional spectrum that may be required thereafter.

Spectrum Management

4. The Minister shall monitor the use of radio frequencies to Monitoring ensure that spectrum licensees comply with the terms and conditions of the licences and that the licensees and other users of the spectrum comply with these Regulations.

Coordinated management of spectrum.

tion of

spectrum licences.

Application for a

spectrum

licence.

the use of

spectrum.

5. The Minister shall co-ordinate the management of the spectrum for telecommunication and radio communication purposes.

Spectrum Licences

6. The Minister may determine the number of spectrum licences Determinato be issued in the various authorised services to ensure the efficient number of use and management of the spectrum.

> 7. (1) A person other than a person referred to in regulation 3 who is desirous of obtaining a spectrum licence under sections 10(1)(c)and 23 of the Act shall apply to the Minister in accordance with the provisions of section 44 of the Act and these Regulations.

> (2) An application under paragraph (1) shall be in the Form 3 set out in Part I of the Schedule and shall be accompanied by the appropriate fee.

> (3) Paragraphs (1) and (2) do not apply to the Police Force, the Defence Force, the Regional Security System or the armed forces of any other State.

(4) The fee referred to in paragraph (2) is not refundable.

Schedule.

8. (1) In accordance with the provisions of section 11 of the Act, Applicant an applicant for a spectrum licence will be required to satisfy the qualifica-tions. Minister that the applicant meets

- (a) the legal requirements referred to in that section and all other criteria set out in the Form 3 set out in the Schedule; and
- (b) the financial and technical requirements specified in that section and in the Form 3.

(2) All applicants for spectrum licences shall be required to disclose information in respect of ownership or other interests held in other operations and services for which licences are required under the Act, including operations and services in respect of dealer's and spectrum licences.

9. (1) The Minister shall place a brief description of the subject Registers. matter of the application for a spectrum licence together with a contact address in a Register prepared for that purpose at the Ministry; and the Register will be available for inspection by the public within a week of the application having been accepted for filing.

(2) The Minister shall ensure that material supplied by an applicant for a spectrum licence is treated as confidential information in accordance with section 7 of the Act.

(3) The Register required to be maintained under section 49 of the Act shall contain

- (a) the name of the applicants to whom spectrum licences have been granted; and
- (b) the frequency band allocated to the spectrum licensees,

but shall not contain any more detail in respect of the applications than that set out in paragraph (1).

10. (1) The Minister may determine an alternative application Alternative procedure to that prescribed in these Regulations for a special special spectrum licence.

spectrum licence application procedure.

THE LAWS OF BARBADOS Printed by the Government Printer, Bay Street, St. Michael by the authority of the Government of Barbados

Form 3

Form 3. Schedule. Form 4 Schedule.

Substantial and significant changes to applications.

(2) Subject to paragraph (1), the application for a special spectrum licence shall be in the Form 4 set out in Part II of the Schedule.

11. (1) Each applicant is responsible for the continuing accuracy and completeness of information furnished in or in connection with an application.

(2) Whenever it is to the applicant's knowledge that the information referred to in paragraph (1) is no longer substantially accurate and complete in all material respects, the applicant shall immediately notify the Minister of that fact and within 10 business days, amend or request the amendment of its application so as to furnish suchadditional or corrected information as may be appropriate.

(3) Where the Minister considers that the application has been significantly amended so that the ability of the Minister to process the application within the time frame specified in regulation 13(1) is restricted, the Minister shall provide the applicant with a new date for the application to be considered properly filed.

12. (1) An application that is accepted for filing, but which is applications. later determined to be non-compliant, will be refused unless, upon being informed by the Minister of the nature of the non-compliance, the applicant amends the application to remedy the non-compliance within 21 business days of being so informed.

> (2) Upon the written request of the applicant, an application not yet considered by the Minister may be withdrawn without prejudice to any future application.

13. (1) The Minister shall notify the applicant of his decision within 3 months from the date the application is accepted for filing.

(2) Notwithstanding paragraph (1), where the Minister has good reason to require more time to consider an application, the applicant shall be so informed, not later than 30 days from the date the decision was to have been given, of the reason for the delay and shall be provided with a reasonable time within which the Minister is expected to give a decision.

Notification of Minister's

decision.

Refusal of

(3) Where the Minister has decided to approve the grant of a spectrum licence, the Minister shall grant the spectrum licence in accordance with the provisions of Part IX of the Act.

14. After the review of all the relevant information filed, the Ministerial Minister shall make a final determination with respect to the determination. application, taking into account all those considerations specified in sections 43 and 44 of the Act.

15. (1) Where a spectrum licensee is desirous of renewing the Renewal of spectrum licence, the licensee shall apply to the Minister no later than spectrum licences. one year prior to the expiration date of the spectrum licence or at such other time as the Minister determines.

(2) The Minister may renew a spectrum licence upon a request by the spectrum licensee made in accordance with sections 11, 23 and 44 of the Act and these Regulations for such additional period as is determined by the Minister.

(3) The renewal by the Minister referred to in paragraph (2) may be made upon expiration of the spectrum licence where the licensee has not

- (a) materially contravened the Act or any regulations made thereunder;
- (b) breached a material term of his spectrum licence;
- (c) failed to act in accordance with the Spectrum Plan; or
- (d) defaulted on any licence fee owed to Government.

(4) On granting a renewal of a spectrum licence, the Minister may vary the terms of the spectrum licence where the conditions then prevailing require such variation and it is reasonable to do so.

(5) Where a variation made under paragraph (4) relates to a redistribution of the spectrum, the licensee shall be entitled to be compensated for any loss that may reasonably have been incurred from the variation, in accordance with standards established by the Minister.

(6) The renewal of the spectrum licence shall not take effect until the spectrum licensee has paid the prescribed fee in accordance with section 11 of the Act, and any unpaid annual spectrum fees assessed in accordance with section 13 of the Act.

Modification of spectrum licence. **16.** (1) A spectrum licensee may, in accordance with section 44(7) of the Act, apply to the Minister for a modification of a spectrum licence.

(2) The modification requested under paragraph (1) may include a modification in respect of the

- (a) permissible location of licensed facilities;
- (b) range of permissible emissions;
- (c) range of permissible power; and
- (d) permissible use of aerial systems.

(3) An application made under paragraph (1) must be filed in the manner specified in these Regulations for initial applications, and must contain required statements of fact and technical information.

(4) The Minister may request additional information to be provided by the applicant referred to under paragraph (1) in order to determine whether to grant the modification.

(5) In considering a request by a spectrum licensee to modify his spectrum licence, the Minister shall take into account the Spectrum Plan, national security and all those considerations specified in sections 11, 12(1) and sections 43 of the Act.

reg.16

(6) In deciding whether to agree to a modification proposed by the spectrum licensee, the Minister shall give due consideration to any submissions received from the spectrum licensee and other interested parties in relation to the proposed modification.

17. Where the Minister proposes a modification to a spectrum Redistribulicence for the better use of spectrum pursuant to section 44(3) of the tion of Act, the Minister shall notify the public in the Official Gazette and at least one daily newspaper that he proposes to modify that licence with specific objectives and non-discriminatory rules for the proposed redistribution; and shall allow any person likely to be affected by the proposed redistribution, an opportunity to make representations to the Minister regarding the proposed modification within such time as the Minister determines.

18. (1) Where a spectrum licensee wishes to

Transfer of control or

- (a) assign his spectrum licence or any rights under that licence assignment. pursuant to section 20 or 44 of the Act; or
- (b) transfer control of operations under the Act,

the spectrum licensee shall apply in writing to the Minister for prior approval and shall not transfer control or assign his licence or any rights under that licence until the licensee has received written approval from the Minister.

(2) The Minister shall make a determination with respect to an application under paragraph (1) no later than 3 months from the date the application was filed.

(3) The Minister shall have regard to the following matters, where relevant, when deciding on a request for assignment or transfer:

- (a) the circumstances of each transfer or assignment so as to ensure that spectrum licensees are not unduly restricted in the management of their commercial affairs;
- (b) whether the assignee or transferee satisfies the criteria for the grant of a spectrum licence;

spectrum.

- (c) where the assignment or transfer is from one or more individuals to a body corporate owned or controlled by the same individual or individuals, the extent to which the assignment or transfer achieves a change in their relative interests;
- (d) where the assignment or transfer is from a body corporate to its shareholders, the extent to which there is a change in the interests of the assignor; and
- (e) where the assignment or transfer is the result of a corporate reorganization, the extent to which there is a change in beneficial ownership.

(4) Notwithstanding paragraph (3), the Minister shall not withhold approval of the assignment where

- (a) the assignment or transfer achieves no material change in the relative interests of the assignee and assignor;
- (b) the assignment or transfer is
 - to a wholly owned subsidiary of the assignor; (i)
 - (ii) from a body corporate to its parent company;
 - (iii) between wholly owned subsidiaries; or
 - (iv) results from a corporate re-organisation that involves no change in the beneficial ownership or management of the assignor.

19. (1) In accordance with section 13 of the Act, spectrum Regulatory licensees shall pay an annual spectrum licence fee as specified in the Telecommunications (Licence Fees) Regulations, 2003.

> (2) The application fee payable by all applicants, except for existing spectrum users, for a spectrum licence is separate from the annual spectrum licence fee referred to in paragraph (1) above.

reg.19

fees.

2003/78

20. (1) All spectrum users are required to use the spectrum Efficient efficiently, in accordance with international best practices and, where use of spectrum. required, to recognise the legitimate interests of existing users.

(2) The use of any spectrum by the spectrum licensee may be withdrawn by the Minister after reasonable notice to the spectrum licensee to that effect where the frequency remains unused by the spectrum licensee for 12 consecutive months; but at the written request of the spectrum licensee and where the licensee shows good cause for the non-use of the spectrum, multiple 6-month extensions of the spectrum licence may be granted on payment of the appropriate fee.

21. A user of a spectrum licence must take such steps as the Interference Minister may by notice in writing reasonably require to ensure that his and other protections. activities do not

- (a) cause harmful interference to the lawful operation of any legitimate spectrum licensee;
- *(b)* expose the public to harmful radio frequency radiation; and
- (c)pose a hazard to navigation by air or sea.

22. (1) A spectrum licensee shall only construct or cause to be Antennae constructed aerials, masts and towers to support facilities and other structure requireequipment to be used by that spectrum licensee in accordance with the ments. Town and Country Planning Act and Regulations made thereunder. Cap. 240.

(2) The provisions of the Town and Country Planning Act and Regulations referred to in paragraph (1) shall not preclude the sharing of any facility with another spectrum licensee.

CAP. 282B Telecommunications (Spectrum L.R.O. 2007 I12 Management and Licensing) Regulations, 2003

SCHEDULE

Telecommunications Act Cap. 282B

(Regulations 7, 8)

PART I

	FORM 3		
	TU00	3	
	APPLICATION FOR A TELECOMMUNICATIONS SPECTRUM LICENCE		
PA	ART A: APPLICANT INFORMATION		
(Please read the Notes provided at the back of this Form before completion)			
1.	Name (if individual):		
2.	Nationality (if individual):		
3.	Address of Applicant (registered office or principal place of business):		
4.	Mailing Address (if different from registered office address)		
5.	Applicant is a (n):		
	Individual Corporation Unincorporate Association Partnership		
Ple	ease indicate whether this is: a new application an application to modify an existing licence an application to renew a licence	ב	

I13L.R.O. 2007Telecommunications (Spectrum
Management and Licensing) Regulations, 2003CAP. 282B

6.	Telephone No:	7. Facsimile No.:
8.	"E-mail" address:	
9.	Name of Manager of entity:	
10.	Person in Barbados to be contacted i	in absence of Manager:
	Name:	Address:
	Telephone No.:	"E-mail" Address:
11.	Facsimile No.:	
12.	Indicate whether the applicant is a m Association:	ember of the GSM Yes No

CAP. 282B Telecommunications (Spectrum L.R.O. 2007 I14 Management and Licensing) Regulations, 2003

13. Indicate		
(a) the approximate number of persons currently or to be employed by applicant:		
(b) the number of persons you expect to hire within the next year specific to the proposed network:		
(c) the number of technical persons who are providing the mobile service and the full complement of technical staff to be employed:		
PART B: FREQUENCIES REQUESTED AND PROPOSED OPERATIONS		
14. General description of proposed telecommunications network:		
15. Precise frequencies requested:		

16. Indicate whether the applicant, or any affiliate of the applicant, currently has a Spectrum Licence.
Yes No
If yes, identify the Licensee and the date issued by the Minister:
Licensee Date of Issuance:
17. Indicate
(a) whether the applicant intends to apply for a Service Provider Licence;
Yes D No D
(b) whether the applicant intends to apply for a Network Licence.
Yes No D
If yes, when does the applicant expect to submit an application for such Licence?
PART C: BASIC QUALIFICATIONS INFORMATION
18. (1) Indicate whether the applicant, or any affiliate of the applicant,
(a) ever applied for a Spectrum Licence in Barbados;
(b) has ever been refused such licence or a licence renewal;
(c) ever had such licence suspended or revoked.
(2) Where the answer to (a), (b) or (c) is "Yes", please attach an explanation.

19. Indicate whether the applicant, or any affiliate of the applicant currently holds a Spectrum Licence in Barbados.			
If Y	Yes,		
(a)	specify the name of the licensee, the type of licence, licensed frequencies and the date issued;		
(b)	specify the period in which the applicant, or any affiliate of the applicant has been providing telecommunications service or operating the telecommunications network in Barbados.		
has Yes If "	licate whether the applicant, or any affiliate of the applicant, currently a Dealer's Licence. s No No C Yes," identify the Licensee and the date issued by the Minister:		
Lic	censee Date of Issuance:		
Ind	licate		
(a)	whether the applicant intends to apply for a Dealer's Licence;		
(b)	when the applicant expects to submit an application for a Dealer's Licence		

21. Indicate whether the applicant, or any affiliate of the applicant, currently holds any licences or authorisations to operate Spectrum Licences in other countries.		
Yes	No 🗌	
app	Yes, please identify the countries in the space provided below the plicant has engaged in operating Spectrum Licences or attach an lanation.	
<u>Name o</u> License		
22. Des	scribe applicant's experience in operating spectrum.	
23. (1)	Indicate whether the applicant, or any affiliate of the applicant for a spectrum licence or any licence or authorisation for a telecommunica- tions service or network in any Caribbean country	
(a)	has ever been refused such licence;	
(b)	ever had such licence suspended or revoked.	
(2)	Where the answer to (a) or (b) is "Yes", please attach an explanation.	

CAP. 282B Telecommunications (Spectrum L.R.O. 2007 I18 Management and Licensing) Regulations, 2003

24. (1) Indicate whether the applicant, or any affiliate of the applicant for a spectrum licence or any licence or authorisation for a telecommunica- tions service or network in any country
(a) has ever been refused such licence;
(b) ever had such licence suspended or revoked.
(2) Where the answer to (a) or (b) is "Yes", please attach an explanation.
25. (Indicate whether the applicant, or any affiliate of the applicant has ever been convicted of an arrestable offence*
(a) in Barbados;
(b) in any Caricom Member Country;
(c) in North America;
(d) in any other Country.
(2) If Yes, please attach an explanation.
26. (1) Indicate whether any officer or member of the applicant's managerial staff has ever been convicted of an arrestable offence*
(a) in Barbados;
(b) in any Caricom Member Country;
(c) in North America;
(d) in any other Country.
(2) If Yes, please attach an explanation.
n	ndicate whether the applicant, or any of the applicant's officers and nanagerial staff are currently the subject of a charge or indictment under ne law of any country (excluding non-arrestable offences).
1	Ves D No D
I I	f Yes, please identify the name of the Licensee and the Licence held.
L	

^{*} an arrestable offence has the meaning assigned to it by section 2 of the Criminal Law (Arrestable Offences) Act, Cap. 125A

28. Indicate whether the applicant, or any affiliate of the applicant, have any shareholdings over 10% in any other Licensed Service Provider, Licensed Carrier, or Licensed Spectrum User in Barbados.
Yes D No D
If Yes, please identify the name of the Licensee and the Licence held
29. Indicate whether the applicant, or any affiliate of the applicant, have any shareholdings over 10% in any licensed telecommunications provider in any Caricom member state.
Yes D No D
If Yes, please identify the name of the Licensee and the Licence held.
Licensee Licence
30. Indicate whether the applicant, or any affiliate of the applicant, is engaged in the manufacturing of any telecommunications equipment.
Yes No
If Yes, please attach an explanation.
31. Indicate whether the applicant has any business activities outside the telecommunications market?
Yes D No D
If Yes, please briefly describe the nature of the business activities and where such activity is conducted.

PART D: FINANCIAL INFORMATION AND QUALIFICATIONS	
32. Applicant must provide a copy of its most recent annual independen audited financial report as well as the most recent unaudited financial reports. If none is available, applicant must submit an attachment explai- ing why none is available. In addition the applicant or an officer of applicant's organization must attest to the validity of the unaudite financial reports. Where the applicant is a consortium all members of the consortium must supply individual financials.	cial in- the ted
 33. Has the applicant, or and affiliates of the applicant ever been bankrupt ever been a director of a company that has become bankrupt or insolven Yes □ No □ 	t or t?
If yes, please attached an explanation and a certified copy of the discharge	ge.
34. Please attach a diagram of the corporate structure of the applicant incluing any parent, affiliate, subsidiary of the applicant and any party interest in the applicant.	ud- of
35. Do any members of the applicant's board of directors also serve on board of any other entity licensed as a spectrum user in Barbados?	the
If yes, please state the name of the director(s), the name of the licensed entity and the license held by the entity.	

36.	Please provide the names and addresses of all of the members of the applicant's board of directors.
37.	If any member of the applicant's board of directors is currently employed by any government, please state the name of each such director, the country each such director is employed by and the ministry or government agency, and the nature of the director's work for that government.

CAP. 282B *Telecommunications (Spectrum* L.R.O. 2007 I22 *Management and Licensing) Regulations, 2003*

38.	Applicant must attach a Performance Bond or Banker's guarantee issued by a reputable bank that acts as a guarantee for a guaranteed sum of the payment of the annual licence fees for a Spectrum Licence due to the Government for the first 2 years of the applicant's licence.
PA	RT E: TECHNICAL INFORMATION
39.	Technical Expertise Please state the applicant's technical qualifications including the number and types of technical experts the applicant currently employs and whether these are employees of the applicant or contracted by the applicant.
40.	 Proposed Spectrum Usage Applicant must provide (as Exhibit A) a description of the use to which the requested spectrum will be put. This description must include: type of operation using licensed spectrum (e.g., commercial GSM network, paging, internal dispatch, etc.) technology used equipment used and any equipment designated by the Minister as requiring certification number of transmitting sites and transmitting antennas subscriber capacity (if applicable) if spectrum will be used to interconnect with domestic fixed landline network or networks, a description of that interconnection preferred spectrum allocation bandwidth required

41.	Spe	ectrum Efficiency and Frequency Coordination	
	that (e.g Thi effo am	plicant must provide (as Exhibit B) a description of the methods, if any, t it will employ to ensure that the licensed spectrum is efficiently used g., spectrum-efficient equipment to be used, frequency reuse plans, etc.). s exhibit must also include a description of any frequency coordination orts that have been undertaken or will be required in order to minimize the bount of interference that will be caused by applicant's operations on the ensed spectrum.	
PA	PART F: DOCUMENTARY EVIDENCE		
42.	The	e applicant also must provide the following documents:	
	1.	Copies of Certificate of Incorporation and/or Business Registration Certificate.	
	2.	Most recent annual audited financial report.	
	3.	Documentary evidence to demonstrate the financial capability of the company for the first 2 years of operation.	
	4.	Performance Bond or Bank Guarantee for payment of licence fees for the first two years of the licence.	

PART G: CERTIFICATION STATEMENT
The applicant certifies that all statements made in this application and in the exhibits, attachments, or documents incorporated by reference are true, complete, correct, and made in good faith.
The applicant agrees to comply with the <i>Telecommunications (Public Telecommunications Licensing) Regulations, 2003/79</i> and the <i>Telecommunications (Spectrum Management and Licensing) Regulations, 2003/98</i> , with regard to the application procedures and requirements.
If granted a licence by the Minister, applicant agrees to operate on the licensed spectrum in accordance with the <i>Telecommunications Act</i> , regulations, and terms and conditions of the licence. Licensee must pay an annual licence fee in accordance with the <i>Telecommunications (Licence Fees) Regulations, 2003/78</i> .
The applicant must obtain any other necessary government approvals required regarding the construction and use of the proposed network facilities.
If granted a licence by the Minister, the applicant agrees to maintain the capability to shut down operation of any transmitters upon direction of the Ministry or in the event of a malfunction.
Typed or printed name of party authorised to sign:
Position:
Signature:
Date:

NOTES

- 1. To obtain a licence to provide one of the following services over a wireless network, this Application Form must be completed in its entirety and submitted to the Ministry with the prescribed application fee in accordance with the instructions provided below:
 - Mobile Radio Telecommunications Service
 - Commercial Trunked Radio Communication Service
 - Fixed Wireless Broadband Multimedia Service
 - Mobile Broadband Multimedia Service
 - Public Radio Paging Service
 - Wireless Domestic Data Service
- 2. Applicants are responsible for complying with the application procedures and requirements set forth in the *Telecommunications (Public Telecommunications Licensing) Regulations, 2003/79* and the *Telecommunications (Spectrum Management and Licensing) Regulations, 2003/98* issued by the Minister.
- 3. To be eligible for filing an application, the applicant must comply with all legal requirements for holding a licence.
- 4. Applicants providing false or misleading information will be subject to penalties in accordance with section 92 of the *Telecommunications Act*.
- 5. A brief description of the facilities that would operate on the licensed spectrum, but not the submitted application, will be made available for public inspection. Information provided in this application will be treated as confidential.
- 6. Grant of licence is at the discretion of the Minister. Application fees are not refundable.

- 7. This application is only for a licence to operate on particular frequencies. A separate application and licence is required for the construction, operation, and maintenance of a wireless network. The applicant must have both licences before the applicant can operate the network or use the licensed spectrum. The applicant is urged to submit this application and the application for a Network Licence at the same time to expedite processing.
- 8. Any person seeking to provide a commercial wireless service in Barbados must submit the appropriate application for a Service Provider Licence. This application form may be obtained from the Ministry responsible for Telecommunications.
- 9. Persons operating without the required licences are subject to the fines and penalties set out in the *Telecommunications Act*.
- 10. Applicants granted a licence by the Minister will be responsible for paying an annual licence fee in accordance with the Schedule of Licence Fees available from the Ministry.
- 11. This form may be used to seek modification of an existing Spectrum Licence.

General Filing Instructions

- 1. If this is a request for modification of a licence, the applicant must consult the Ministry for the appropriate modification fee.
- 2. To be accepted for filing, applications must be signed by a person authorised by the applicant. By signing, the applicant certifies that the information submitted is true, complete, correct, and made in good faith.
- 3. The applicant shall submit 5 copies of the application, including supporting documentation, and the application fee, to:

The Chief Telecommunications Officer Ministry responsible for Telecommunications "The Business Centre" Upton, St. Michael Barbados

Instructions for Completion

If this is an application to modify a licence, please attach a copy of the original application submitted, and, if requesting a licence modification, also attach the licence granted. Where there is no change in the information requested in the application, please put "No Change" next to the information requested. Where the information requested is not the same, please indicate the modification being proposed and the nature of the change and the reason for the proposed change.

Part A: Applicant Information

<u>Items 8-11</u>: Contact information should be provided for the person the applicant has designated as the person to whom the Ministry should send correspondence.

Part B: Frequencies Requested and Proposed Operations

<u>Item14</u>: Provide a description of the type(s) of facilities and operations that will be employed on the spectrum requested to be licensed.

Item 15: Identify the frequencies for which the applicant seeks to be licensed.

Part C: Basic Qualifications and Information

Items are self-explanatory.

Part D: Financial Information and Qualifications

Items are self-explanatory.

Part E: Technical Information

I29L.R.O. 2007Telecommunications (Spectrum
Management and Licensing) Regulations, 2003CAP. 282B

Telecommunications Act, 2001 (Act 2001-36)

(Regulation 10(2))

PART II

FORM 4			
TU004			
APPLICATION FOR A TELECOMMUNICATIONS SPECIAL SPECTRUM LICENCE			
PART A: APPLICANT INFORMATION			
(Please read the Notes provided at the back of this Form before completion)			
1. Name (if individual):			
2. Nationality (if individual):			
3. Address of Applicant (registered office or principal place of business):			
4. Mailing Address (if different from registered office address)			
Please indicate whether this is: a new application an application to modify an existing licence an application to renew a licence			

5.	Applicant is a (n):	
	Individual	Corporation
	Unincomposed According	Dortograhin
	Unincorporate Association	Partnership
6.	Telephone No.: 7.	Facsimile No.:
8.	"E-mail" address:	
9.	Name of Manager of entity:	
10.	Person in Barbados to be contacted in absence of Manager:	
	Name:	Address:
	Telephone No.:	"E-mail" Address:
11.	Facsimile No.:	
12.	Mobile No.:	

CAP. 282B Telecommunications (Spectrum L.R.O. 2007 I30 Management and Licensing) Regulations, 2003

07 Telecommunications (Spectrum CAP. 282B Management and Licensing) Regulations, 2003 I31 L.R.O. 2007

PART B: OPERATIONAL INFORMATION				
Location of Operation Transmission Path				
Purpose and Objective				
Period (State the commencing and ending dates)	Date from (dd/mm/yyyy)	Date End (dd/mm/yyyy)		
Equipment Information				
Brand Name & Model No.				
Description of System and Operation (attach supporting documents such as block diagram)				

RF Emission Information	
Type of Emission (AM, FM, pulse etc.)	
Tx Bandwidth (kHz)	
Rx Bandwidth (kHz)	
Frequency range(s) in which equipment can operate in	
Number of spot frequencies required	
TX Frequency (MHz)	
Rx Frequency (MHz)	
RF Transmitter Power (W rep)	
Type of Antenna Used and its Gain (dB)	

CAP. 282B Telecommunications (Spectrum L.R.O. 2007 I32 Management and Licensing) Regulations, 2003

Operational Information	
Name or Location of Ground Station	
Associated Space Station	
(For GeoStationary Only) Nominal Orbital	Longitude in Degrees-Hours-Minutes- (E/W) (e.g. 120-45-30-W)
Name of Satellite Operator	

For Satellite Uplink or Downlink Frequencies

I declare that all the information in this registration form is true and correct. I understand that approval from the Ministry responsible for Telecommunications in Barbados for this application is based on information as declared in this application. Should this information be subsequently found to be inaccurate or incorrect, the Ministry reserves the right to suspend or revoke the licence.

Name

Company Stamp

Signature

Date

IMPORTANT INFORMATION

- 1. All sections of this application MUST be completed. Guidelines are attached to this form.
- 2. Any supporting documents should be submitted to the Chief Telecommunications Officer Telecommunications Unit, Ministry responsible for Telecommunications, "The Business Centre", Upton, St. Michael, FAX: (246) 228-6167/426-0960.
- 3. The Fee Payable is as set out in 9 of the Application Guidelines.
- 4. Only authorised persons (managerial level) may use this form. If application is made on behalf of a company, please include the Corporate Registration No. of the company for confirmation purposes.

APPLICATION GUIDELINES FOR TELECOMMUNICATIONS SPECIAL SPECTRUM LICENCE

(Section 46 of the *Telecommunications Act*)

1. Introduction

1.1 This guide provides information on the available frequencies, operating conditions and fees payable for the special use of frequencies. This usage is typically necessitated by such purposes as exhibitions, demonstration and testing of equipment, or occasional broadcasts. The Ministry shall decide at its discretion, the circumstances in which the special use of frequencies will be permitted.

2. Demonstration of Equipment by Dealers

2.1 Dealers holding valid Telecommunications Sellers and Dealers Licences may apply to the Ministry for the special use of frequencies for occasional needs such as the demonstration of equipment to potential customers at their business premises.

3. Equipment Type Approval

- 3.1 Unless otherwise specified by the Ministry, all equipment operated by a licensed seller and dealer for demonstration purposes must be type-approved by the Ministry.
- 3.2 Equipment intended for temporary use, for example, by an exhibitor or an equipment manufacturer or supplier who takes part in an exhibition, may be exempted from approval. However, equipment that has earlier failed the Ministry's approval or acceptance test may not be operated unless the applicant satisfies the Ministry that its operation will not cause harmful interference to the operation of other radiocommunication networks and equipment.

4. Duration of Use

4.1 The duration of temporary use typically ranges from a few hours to a few days; up to a maximum period of 10 days and is not renewable.

5. Where to Apply

5.1 Applications must be submitted at least 3 weeks before the scheduled demonstration to:

The Chief Telecommunications Officer Telecommunications Unit Ministry responsible for Telecommunications "The Business Centre" Upton St. Michael

6.2 Information Required

- 6.1 The following information is required and must be attached to the application:
 - (a) a brief description of the equipment and its functions;
 - (b) a copy of the equipment's technical specifications which shall include the frequency range, the desired frequency, the necessary bandwidth, emission characteristics, antenna gain, bandwidths and effective radiated power;
 - (c) alternative frequencies or frequency ranges, if the desired frequencies or frequency ranges are not available;
 - (d) for VSAT or earth station, the station location in Barbados and documentary evidence of permission to access the satellite system including the name and location of satellite;
 - (e) approval from the Government Information Service must first be obtained for all transient satellite news gathering;
 - (f) location and period of demonstration; and
 - (g) name and telephone number of the person to contact in case of radio interference.

7. Frequencies for Special Use

7.1 The following frequencies are assigned for special use:

	Frequency	Bandwidth
i)	25.273 kHz	16 kHz
ii)	83.250/87.750 MHz	16 kHz
iii)	141.775 MHz	16 kHz
iv)	161.450 MHz	16 kHz
v)	821 MHz	$\pm 2 \text{ MHz}$
vi)	1 530 – 1 544 MHz	Satellite Receive Band

The above list may be modified as a result of international or national frequency allocations.

7.2 Frequencies not listed may be approved on a case-by-case basis.

8. Conditions of Operation

- 8.1 Frequencies approved for special use will be subject to the following conditions of operation:
 - (a) all frequencies for use are operated on a shared-basis;
 - (b) usage of frequencies shall not cause interference to other Radio communication networks duly authorised by the Ministry;
 - (c) the usage is confined to the location indicated by the applicant and transmission is allowed only during the approved period;
 - (d) the RF output power and the height of external antenna's would normally be not more than 0.5 W erp and 10 m above ground respectively. The Ministry may consider higher power or antenna height where adequate justification is provided by the applicant.

9. Fees and Charges

- 9.1 A fee of \$50 is payable for a frequency selected from frequencies listed in paragraph 7.1.
- 9.2 Where a frequency that is not from the pool of frequencies in paragraph 7.1 is approved for use, the fee payable shall be as follows:

Bandwidth (x)	Fee
Danuwiutii (x)	10 days or less
$x \le 25 \ kHz$	\$100
25 KHz < x < 500 kHz	\$150
$500 \text{ kHz} \le x < 1 \text{ MHz}$	\$400
$1~MHz \le x < 20~MHz$	\$800
$x \geq 20 \ MHz$	\$1300

- 9.3 Unless otherwise determined by the Ministry, a fee of \$100 is payable for a frequency mentioned in paragraph 9.2, if the period of usage is less than a day or the cumulative hours of operation are less than 24 hours.
- 9.4 Unless otherwise determined by the Ministry, the station licence fee of the Radiocommunication equipment is waived.

10. Exportation of Equipment

10.1 Any non-type approved equipment shall be exported out of Barbados immediately after operation, and documentary proof of export must be submitted to the Ministry.